

Roger Faulring

Independent Financial Advisor

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This Brochure Supplement provides information about Roger Faulring that supplements the B. Riley Wealth Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact B. Riley's Compliance Department if you did not receive the B. Riley Wealth Advisors Brochure or if you have any questions about the contents of this Supplement.

Additional information about this Financial Advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Business Experience and Educational Background

CRD #: 727976

Year of Birth: 1953

Education: No educational degrees after high school.

Business Experience:

August 2020 to Present	Financial Advisor, B. Riley Wealth Advisors (f/k/a National Asset Management)
July 2013 to August 2020	Investment Advisor Representative, United Advisors Services, LLC

Item 3 – Disciplinary Information

Mr. Faulring has no disciplinary actions to disclose.

Item 4 – Other Business Activities

Mr. Faulring uses the business name Consilience Asset Management for marketing and/or tax purposes only but offers investment advice through the registered investment advisor described below.

Mr. Faulring is an investment advisory representative (“IAR”) of B. Riley Wealth Advisors, Inc. (“BRWA”) and authorized to conduct business in the state. Mr. Faulring will only offer investment advisory products and services available through BRWA. This means that you may be able to receive a more appropriate advisory program from a different registered investment advisor.

Item 5 – Additional Compensation

As an IAR, BRWA pays Mr. Faulring a portion of any investment advisory fees collected. Other than normal production bonuses, Mr. Faulring does not receive additional compensation based on the amount of new accounts or client referrals.

Item 6 – Supervision

BRWA provides investment advisory and supervisory services in accordance with the BRWA Policies and Procedures. Each advisor associated with BRWA has been assigned a supervising principal. The supervising principal, or any properly documented qualified designee, is responsible for undertaking the day-to-day supervision of the advisor’s activities. Supervising principals have primary responsibility to review and approve the account activities of the advisors assigned to them and may counsel with the Compliance Department to address perceived issues as deemed appropriate. The Compliance & Supervision Departments also provide additional oversight functions, as necessary. Each BRWA advisor must acknowledge that he or she is aware of and agrees to abide by all applicable government and industry regulations as well as the BRWA Code of Ethics.

Ed Strauss, Regional Supervisory Officer, has primary responsibility for the supervision of Mr. Faulring and may be reached at 813-337-0494.